Extended TQFT by Induced Representations

Jeffrey C. Morton

Instituto Tecnico Superior, Lisbon

WIMCS Workshop on Higher Gauge Theory, TQFTs and Categorification Cardiff, Wales May 2011

Definition

A Topological Quantum Field Theory is a monoidal functor:

 $Z: \mathbf{nCob} \to \mathbf{Vect}$

An Extended TQFT (ETQFT) is a (weak) monoidal 2-functor

 $Z: \mathbf{nCob_2} \to \mathbf{2Vect}$

where **nCob**₂ has

- **Objects**: (*n* 2)-dimensional manifolds
- Morphisms: (n-1)-dimensional cobordisms (manifolds with boundary, with ∂M a union of source and target objects)
- 2-Morphisms: *n*-dimensional cobordisms with corners

We'll construct an ETQFT by factoring through a 2-category *Span*(**Gpd**), then applying some universal process.

Definition (Part 1)

The bicategory Span₂(**Gpd**) has:

- Objects: Groupoids
- Morphisms: Spans of groupoids:



• Composition defined by weak pullback:



Extended TQFT by Induced Representations

Definition (Part 2)

The **2-morphisms** of *Span*₂(**Gpd**) are spans of *span maps*, commuting up to 2-cells of **Gpd**:



Composition is by weak pullback taken up to isomorphism.

Theorem

There is a monoidal structure on $Span_2(\mathbf{Gpd})$ induced by the product in \mathbf{Gpd} , with monoidal unit 1.

(Note: Roughly, $Span_2(C)$ will be the universal 2-category containing C in which morphisms have ambidextrous adjoints.)

Jeffrey C. Morton (IST)

Extended TQFT by Induced Representation

Cobordisms can be seen as cospans of manifolds, with inclusions:



A cobordism between two cobordisms is a cospan of cospan maps:



(Note there are complications due to the fact that $nCob_2$ is a *cubical* weak 2-category.)

5 / 37

Cobordisms in **nCob**₂ actually live in $Span^2$ (**ManCorn**), as double cospans (here n = 3):



These form a "double bicategory", which **induces** a bicategory since horizontal and vertical morphisms are composable.

For finite gauge group G, we get a functor:

```
\mathcal{A}_{G}: nCob_{2} \rightarrow Span(Gpd)
```

A flat G-connection on a manifold M is an object in

 $\mathcal{A}_G(B) = \hom(\Pi_1(M), G)$

Gauge transformations are natural transformations between these (giving a group element at each point).

Definition

Moduli space for gauge theory, for (finite) gauge group G. Given M, the groupoid $\mathcal{A}_G(M) = Fun(\pi_1(M), G)$ has:

- Objects: Flat connections on M (functors)
- Morphisms Gauge transformations (natural transformations)

("Secretly" the groupoid is representing a *stack*. This is a standard situation for moduli spaces supporting symmetries.)

Jeffrey C. Morton (IST)

A connection on the cobordism $S : X \to Y$ in **nCob**₂ can be pulled back along boundary inclusions by $(i_X)^*$ and $(i_Y)^*$, hence there is a span of the groupoids of flat connections:



Theorem

 $\mathcal{A}_G(-)$ defines a contravariant functor ManCorn \rightarrow Gpd), and a covariant functor $nCob_2 \rightarrow Span(Gpd)$.

Think of $\mathcal{A}_G(S)$ as a space (*stack*) of *histories*; intuitively *s* and *t* pick the starting and terminating *configuration* in *A* and *B* - compatible with gauge symmetry.

Goal: Using the induced 2-functor $\mathcal{A}_G(-)$: $\mathbf{nCob}_2 \rightarrow Span_2(\mathbf{Gpd})$, get an ETQFT Z_G : $\mathbf{nCob}_2 \rightarrow \mathbf{2Vect}$.

Theorem

There is a 2-functor ("2-linearization"):

 $\Lambda: \textit{Span}_2(\textbf{Gpd}) \mathop{\rightarrow} \textbf{2Vect}$

Where, recall:

Definition

2Vect is the 2-category of 2-vector spaces, which consists of:

- \bullet Objects: $\mathbb C\text{-linear}$ abelian category, generated by simple objects
- Morphisms: 2-linear maps: C-linear (hence abelian) functor.
- 2-Morphisms: Natural transformations

Finite dimensional 2-vector spaces all look like \mathbf{Vect}^k , and 2-linear maps have a matrix representation. (Analogous examples occur for infinite dimensional 2-vector spaces).

Lemma

If **B** is an essentially finite groupoid, the functor category $\Lambda(\mathbf{B}) = Rep(\mathbf{B}) := [\mathbf{B}, \mathbf{Vect}]$ is a KV 2-vector space.

The generators of $[\mathbf{B}, \mathbf{Vect}]$ are irreducible reps - labeled by ([b], V), where $[b] \in \underline{\mathbf{B}}$ and V an irreducible rep of Aut(b).

Theorem

If **X** and **B** are essentially finite groupoids, a functor $f : \mathbf{X} \to \mathbf{B}$ gives two 2-linear maps:

$$f^*: \Lambda(\mathbf{B}) \to \Lambda(\mathbf{X})$$

with $f^*F = F \circ f$ and (the restricted representation along f)

$$f_*: \Lambda(\mathbf{X}) \to \Lambda(\mathbf{B})$$

the induced representation of F along f. Furthermore, f_* is the two-sided adjoint to f^* .

In fact, the LEFT adjoint map f_* acts by:

$$f_*(F)(b) \cong \bigoplus_{f(x)\cong b} \mathbb{C}[Aut(b)] \otimes_{\mathbb{C}[Aut(x)]} F(x)$$

This is a (left) Kan extension of the functor F along f.

There is also a RIGHT adjoint (right Kan extension):

$$f_!(F)(b) \cong \bigoplus_{[x]|f(x)\cong b} \hom_{\mathbb{C}[Aut(x)]}(\mathbb{C}[Aut(b)], F(x))$$

There is the canonical Nakayama isomorphism:

$$N_{(f,F,b)}: f_!(F)(b) \rightarrow f_*(F)(b)$$

given by the *exterior trace map* (which uses a modified group average in each factor):

$$N: \bigoplus_{[x]|f(x)\cong b} \phi_x \mapsto \bigoplus_{[x]|f(x)\cong b} \frac{1}{\#Aut(x)} \sum_{g\in Aut(b)} g \otimes \phi_x(g^{-1})$$

Under this identification we get that f^* and f_* are ambidextrous adjoints.

Call the adjunctions in which f_* is left or right adjoint to f^* the *left and right adjunctions* respectively. We want to use the counit for the right adjunction, the evaluation map:

$$\eta_R(G)(x): v \mapsto \bigoplus_{y|f(y)\cong x} (g \mapsto g(v))$$

and the unit for the left adjunction, which is determined by the action:

$$\epsilon_L(G)(x): \bigoplus_{[y]|f(y)\cong x} g_y \otimes v \mapsto \sum_{[y]|f(y)\cong x} f(g_y)v$$

These define maps between F(x) and $f_*f^*F(x)$.

(Note: there are canonical inner products around which make these maps *linear* adjoints.)

Definition

Define the 2-functor Λ as follows:

- Objects: $\Lambda(\mathbf{B}) = Rep(\mathbf{B}) := [\mathbf{B}, \mathbf{Vect}]$
- Morphisms $\Lambda(X, s, t) = t_* \circ s^* : \Lambda(\mathbf{a}) \longrightarrow \Lambda(\mathbf{B})$
- 2-Morphisms: $\Lambda(Y, \sigma, \tau) = \epsilon_{L,\tau} \circ N \circ \eta_{R,\sigma} : (t)_* \circ (s)^* \rightarrow (t')_* \circ (s')^*$

 $\Lambda(X, s, t)$ can be represented by the matrix with coefficients:

$$\begin{split} &\Lambda(X,s,t)_{([a],V),([b],W)} = \hom_{Rep(Aut(b))}(t_* \circ s^*(V),W) \\ &\simeq \bigoplus_{[x] \in \underline{(s,t)^{-1}([a],[b])}} \hom_{Rep(Aut(x))}(s^*(V),t^*(W)) \end{split}$$

This is an *intertwiner space* for the groupoid representations. The 2-morphisms give (component-wise) linear maps between intertwiner spaces.

In the case where source and target are 1, there is only one basis object in $\Lambda(1)$ (the trivial representation), so the 2-linear maps are represented by a single vector space. Then it turns out:

Theorem

```
Restricting to hom_{Span_2(\mathbf{Gpd})}(\mathbf{1},\mathbf{1}):
```



where **1** is the (terminal) groupoid with one object and one morphism, Λ on 2-morphisms is just the degroupoidification functor D of Baez and Dolan.

Theorem

For any finite group G, the 2-functor

$$Z_G = \Lambda \circ \mathcal{A}_G$$

is an extended TQFT.

That is, a cobordism becomes:

$$\left[\mathcal{A}_{G}(X), \mathsf{Vect}\right] \stackrel{\Lambda(\mathcal{A}_{G}(S), (i_{X})^{*}, (i_{Y})^{*})}{\longrightarrow} \left[\mathcal{A}_{G}(Y), \mathsf{Vect}\right]$$

and similarly for 2-morphisms.

Corollary

 $Z_G = \Lambda \circ A_G$ gives the Dijkgraaf-Witten model when n = 3, for closed manifolds.

For example, if $B = S^1$, a *G*-connection *g* is determined by one holonomy in *G*. Then *G* acts by gauge transformations, via the conjugation action, so $h: g \to g'$ is $h \in G$, such that $g' = hgh^{-1}$. So:

$$\mathcal{A}_G(S^1) \simeq G /\!\!/ G$$

which has:

- Objects: elements of G
- Morphisms: conjugacy relations h:g
 ightarrow g'

So we have the 2-vector space of G-equivariant functors into **Vect**, and thus

$$Z_G(S^1) = [\mathcal{A}_G(S^1), \mathbf{Vect}] \simeq \operatorname{Rep}(G/\!\!/ G)$$

The irreducible (basis) objects of $Z_G(S^1)$ are then labelled by a choice of conjugacy class $[g] \in \underline{G}$ and a representation $V \in Rep(Stab(g))$.

17 / 37

Suppose $S: S^1 + S^1 \rightarrow S^1$ is the "pair of pants", showing two "particles" fusing into one.



Then we have the diagram:



Where the map Δ leaves connections fixed, and acts as the diagonal on gauge transformations; and *m* is the multiplication map.

Note: a state over ([g], [g']) will be transported to one with nontrivial representations over all [gg'] for any representatives of [g], [g'].

Generalization 1: Z_G for G a compact Lie group (uses measured groupoids)

For quantum mechanics, the classical configuration space S is usually not discrete.

Minimally, (S, μ) a measure space, and $L^2(S, \mu)$ is the Hilbert space for the corresponding quantum system. Interesting cases occur when S is a manifold and μ comes from a volume form.

Duplicating the above requires some changes:

- Ambi-adjunction requires Hilb instead of Vect in infinite-dim setting
- Direct sums become direct integrals which are not (co)limits
- Push-forward is not just Kan extension of functors

Any 2-linear map $T : \mathbf{Vect}^n \to \mathbf{Vect}^m$ is naturally isomorphic to a map acting by an $m \times n$ matrix:

$$\begin{pmatrix} V_{1,1} & \dots & V_{1,n} \\ \vdots & & \vdots \\ V_{m,1} & \dots & V_{m,n} \end{pmatrix} \begin{pmatrix} W_1 \\ \vdots \\ W_n \end{pmatrix} = \begin{pmatrix} \bigoplus_{i=1}^n V_{1,i} \otimes W_i \\ \vdots \\ \bigoplus_{i=1}^n V_{m,i} \otimes W_i \end{pmatrix}$$

When the entries are finite-dimensional vector spaces, this explains why T has a two-sided adjoint.

 T^* is the $n \times m$ matrix with $(T^*)_{i,j} = (T_{i,j})^*$, the dual of the corresponding entry it the transpose of T. The adjoint is 2-sided because $(V_{i,j})^{**} \cong V_{i,j}$ is canonical: the category **FinVect** is *reflexive*.

This isn't true for infinite-dimensional vector spaces, but it is for Hilbert spaces (**Hilb** is reflexive). So to stay closed under composition, in infinite-dimensions, 2-Vector spaces must be generalized to 2-Hilbert spaces.

Consider categories like:

Definition

If (X, μ) is a measurable space **Meas(X)** is the category with:

- Objects: measurable fields of Hilbert spaces on (X, M): i.e.
 X-indexed families of Hilbert spaces H_x with a Hilbert space of measurable sections (satisfying certain properties)
- Morphisms: measurable fields of bounded linear maps between Hilbert spaces, f_x : H_x → K_x so that ||f|| (the operator norm of f) is measurable.

This is the equivalent of a *measurable function*. Imposing that sections and norms be L^2 condition gives a categorification of $L^2(X, \mu)$.

Definition

There is a locale MX whose "open sets" are the measurable sets of X, and whose morphisms are inclusions *up to almost everywhere*. This becomes a Grothendieck site where an "open cover" is a usual cover, *up to almost everywhere*.

Then a measurable sheaf of Hilbert spaces is a sheaf of Hilbert spaces on on MX, and these form a category MSh(X).

Theorem (Wendt)

The category of measurable sheaves MSh(X) is equivalent to the internal category Hilb[Sh(X)] of Hilbert spaces in the topos Sh(X) of (set-valued) sheaves on MX.

Theorem

A measurable field of Hilbert spaces on (X, μ) determines a measurable sheaf by direct integration: given a measurable $U \subset X$, this assigns

$$\int_U^{\oplus} d\mu(x) \mathcal{H}_x$$

where the direct integral is a Hilbert space of sections with inner product

$$\langle \phi, \psi \rangle = \int_U d\mu(x) \langle \phi_x, \psi_x \rangle$$

This is the equivalent of the matrix of vector spaces for a 2-linear map. It is still a conjecture that all suitable functors are of this form. **Question**: How do such functors arise?

Definition

A disintegration between two measure spaces consists of:

• A measurable function $f:(X,\mathcal{M},\mu)
ightarrow (Y,\mathcal{N},
u)$

• A family
$$(X_y, \mathcal{M}_y, \mu_y)_{y \in Y}$$
 where:

$$\mathcal{X}_{y} = I \quad (y)$$
$$\mathcal{M}_{y} = \{A \cap X_{y} | A \in \mathcal{M}\}$$

 μ_y is a measure on X_y

satisfying some obvious properties.

Theorem (Wendt)

Given a disintegration $f : (X, \mu) \rightarrow (B, \nu)$, there is an adjoint pair of functors

$$MSh(X) \stackrel{f^*}{\leftarrow}_{f_*} MSh(Y)$$

We need (groupoid-)equivariant version of this theorem.

Definition

A measurable groupoid is a groupoid internal to **Msble**, the category of measurable spaces and measurable functions.

Definition

If $\mathcal{G} = (G_0, G_1)$ is a measurable groupoid, a **groupoid measure** on \mathcal{G} consists of:

- A measure μ on the space of objects
- A (measurable, left) Haar system: for each x ∈ G₀, a measure ν_x on the space of morphisms into x, t⁻¹(x) such that
 - the choice ν_x is measurable: for any measurable function $f: G_1 \to \mathbb{C}$, the function

$$x \mapsto \int_{t^{-1}(x)} f(g) d\nu_x(g) \tag{2}$$

is measurable

- the u_x are left-invariant: for any $g\in {\mathcal G}_1$, and measurable $f:\,{\mathcal G}_1 o {\mathbb C}$

$$\int f(gh)d\nu_{s(g)}(h) = \int f(h)d\nu_{t(g)}(h)$$
(3)

To define Λ for measure groupoids, we again want:

$$\Lambda(G) = \operatorname{Rep}(G)$$

A representation ρ of a measure groupoid $s, t : G_1 \to G_0$ is defined on a measurable field of Hilbert spaces \mathcal{H} on G_0 . It gives a functor $R : \mathbf{G} \to \mathbf{Hilb}$ with $R(x) = \mathcal{H}_x$, the fibre at each $x \in M$, and an isomorphism R(g) for each $g : x \to y$. (But not all functors are *measurable* representations).

Definition

Rep(G), the category of representations of G, has

- Objects: Measurable representations of G
- Morphisms: Intertwiners: i.e. measurable natural transformations between functors $n: \rho \to \rho'$

(A natural transformation is measurable when it determines a measurable field of linear maps over $G_{0.}$)

Theorem

A representation of G on a measurable field \mathcal{H} of Hilbert spaces determines an equivariant sheaf of Hilbert spaces by direct integration.

Then we hope to have the following:

Proposition

The category Rep(G) is equivalent to the internal category Hilb[EMSh(G)] of Hilbert spaces in the topos of equivariant measurable sheaves on MG_0 .

And

Proposition

Given a disintegrating functor $f:G\to G'$ between measure groupoids , there is a (bi-)adjoint pair of functors

$$EMSh(G) \stackrel{f^*}{\underset{f_*}{\leftrightarrow}} EMSh(G')$$

Given the above, we would define Λ as before, so that a span



has

$$\Lambda(X,s,t)=t_*\circ s^*:\Lambda(G)\longrightarrow \Lambda(G')$$

and for a 2-cell $Y : X \to X'$ given by

$$\Lambda(Y,\sigma,\tau) = \epsilon_{L,\tau} \circ \mathsf{N} \circ \eta_{\mathsf{R},\sigma} : (t)_* \circ (s)^* \to (t')_* \circ (s')^*$$

using the analog of the Nakayama isomorphism:

$$N: \int_{[x]|f(x)\cong b}^{\oplus} \phi_x \mapsto \int_{[x]|f(x)\cong b}^{\oplus} \frac{1}{\operatorname{vol}(\operatorname{Aut}(x))} \int_{g\in\operatorname{Aut}(b)} g\otimes \phi_x(g^{-1})$$

Applying the above to ETQFT: follow the same prescription:

Example

Interesting case is G = SU(2). The topology generates measurable sets to make SU(2) a regular Borel space, with Haar measure μ . The (measurable) groupoid

$$\mathcal{G} = Z_{SU(2)}(S^1) = SU(2) / / SU(2)$$

gets a groupoid measure:

- Measure: $Ob(\mathcal{G}) = SU(2)$ gets the Haar measure μ
- Haar System: For $g \in Ob(\mathcal{G})$, we always have $t^{-1}(g) \cong SU(2)$, which also gets $\nu_g = \mu$

(Note: $vol(G/\!\!/ G) = 1$, as we've fixed normalization of μ)

We can get reps of \mathcal{G} by integrating those indexed by ([g], V) for $g \in SU(2)$ and V an irrep of Stab(g) (SU(2) or U(1)).

Cobordisms of 2 or 3 dimensions are trickier:

For connected cobordisms, all groupoids in our construction are equivalent to ones of the form $\mathcal{A}_G(X)/\!\!/ G$.

So we can always take $\nu_x = \mu$, Haar measure on *G*. But:

- There is a canonical measure on $\mathcal{A}_G(B)$ for 2-manifold S, the Goldman measure... but this is nontrivial!
- There is no *canonical* measure on $\mathcal{A}_G(M)$ for 3-manifold M!

To assign measures to $A_G(X)$ in dimension 3 or higher, we must *change the cobordism category*.

Need cobordisms to be decorated with extra data, sufficient to determine a measure. (e.g. specified paths which determine a presentation of the fundamental groupoid)

The construction for Λ can also be described using:

- *Rep*(B) → Category of reps of von Neumann algebra associated to B (including groupoid algebras)
- 2-linear maps represented by *Hilbert bimodules*, given by induction and restriction
- Natural transformations represented by bimodule maps

This relates to a conjecture (Baez, Baratin, Freidel, Wise) that *infinite-dimensional 2-Hilbert spaces* are representation categories for v.N.-algebras.

"Physically", this describes the quantum mechanics of systems with boundary.

Generalization 2: Higher gauge theory - for a 2-group \mathcal{G} , define a 3-functor $Z_{\mathcal{G}}$: $nCob_3 \rightarrow 3Vect$. Sketch:

Definition

Fixing a 2-group \mathcal{G} , the contravariant 2-functor

$$\mathcal{A}_{\mathcal{G}}^{(2)} = 2$$
Fun $[\Pi_2(-), \mathcal{G}]$

assigns to a manifold M the 2-groupoid $\mathcal{A}_{\mathcal{G}}^{(2)}(()M)$ with:

- Objects: 2-functors ("2-connections")
- Morphisms: natural transformations ("gauge transformations")
- 2-Morphisms: modifications (...)

(and, to smooth functions, the induced maps).

There's an induced map $Span_3(ManCorn) \rightarrow Span_3(2Gpd)$, where $Span_3(-)$ has, as 3-morphisms, equivalence classes of diagrams shaped like:



(4)

Composition is again by weak pullback. (Note that 2-morphisms and 3-morphisms of 2Gpd can appear in $Span_3(2$ Gpd) by weakening the assumption that this commutes.)

As before, $nCob_3$ lives in $Span^3$ (**ManCorn**) (cubical, but can be intimidated into being globular if desired).

We would like to define a 3-functor

$$\Lambda^{(2)}$$
 : Span₃(2Gpd) \rightarrow 3Vect

Then assuming $\Lambda^{(2)}$ is well-defined, we should obtain an extended TQFT 3-functor:

$$Z_{\mathcal{G}} = \Lambda^{(2)} \circ \mathcal{A}^{(2)}_{\mathcal{G}}$$
 : nCob₃ $ightarrow$ 3Vect

For $\mathcal{X} \in \mathbf{2Gpd}$, we expect to get:

$$\Lambda^{(2)}(\mathcal{X}) = \mathsf{Rep}(\mathcal{X}) = 2\mathsf{Fun}(\mathcal{X}, \mathbf{2Vect})$$

There should be, for each $\mathcal{F}:\mathcal{X} \to \mathcal{Y},$ an adjoint pair

 \mathcal{F}^* : $Rep(\mathcal{Y}) \rightarrow Rep(\mathcal{X})$

and

$$\mathcal{F}_*: \operatorname{Rep}(\mathcal{X})
ightarrow \operatorname{Rep}(\mathcal{Y})$$

where the induced representation functor \mathcal{F}_* is given by 2-Kan extension along \mathcal{F} .

To prove: It should be biadjoint. Moreover, to get 3-morphisms, the unit and counit ϵ_L , η_R should themselves be biadjoint!

Eventually: One hopes this pattern will repeat with representations of *n*-groupoids for all *n*. Must deal with slight trickiness of **nVect**.