

Extended TQFT from (Higher) Gauge Theories

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Higher Gauge Theory, TQFT, Quantum Gravity
Lisbon, Portugal
Feb 2011

Definition

An Extended TQFT (ETQFT) is a (weak) monoidal 2-functor

$$Z : \mathbf{nCob}_2 \rightarrow \mathbf{2Vect}$$

where \mathbf{nCob}_2 has

- **Objects:** $(n - 2)$ -dimensional manifolds
- **Morphisms:** $(n - 1)$ -dimensional cobordisms (manifolds with boundary, with ∂M a union of source and target objects)
- **2-Morphisms:** n -dimensional cobordisms with corners

We'll construct an ETQFT by factoring through a 2-category $Span(\mathbf{Gpd})$, then applying some universal process.

“Physical” applications of groupoids arise mostly from *action groupoid* $S//G$ associated to a G -action on S , where S is a space of configurations. (Secretly the groupoid is a *stack*.)

Example

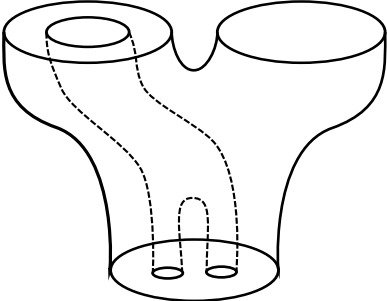
Moduli space for *gauge theory*, for (finite) gauge group G . Given M , the groupoid $\mathcal{A}_0(M, G) = \text{hom}(\pi_1(M), G)//G$ has:

- **Objects:** Flat connections on M
- **Morphisms** Gauge transformations

Goal: Using the induced 2-functor $\mathcal{A}_0(-, G) : \mathbf{nCob}_2 \rightarrow \text{Span}_2(\mathbf{Gpd})$, we get an ETQFT $Z_G = \Lambda \circ \mathcal{A}_0(-, G)$.

Problem: What?

This relies on the fact that cobordisms in \mathbf{nCob}_2 actually live in $\text{Span}^2(\mathbf{ManCorn})$, as double cospans (here $n = 3$):

\mathbf{nCob}_2	$\text{Span}^2(\text{ManCorn})$
	$ \begin{array}{ccccc} S^1 & \xrightarrow{i_A} & (A \amalg D) & \xleftarrow{i'_A \otimes i_D} & S^1 \amalg S^1 \\ i_1 \downarrow & & \downarrow \iota_1 & & \downarrow i_2 \\ Y & \xrightarrow{\iota_3} & M & \xleftarrow{\iota_4} & Y \\ i_2 \uparrow & & \uparrow \iota_2 & & \uparrow i_1 \\ S^1 \amalg S^1 & \xrightarrow{i_2} & Y & \xleftarrow{i_1} & S^1 \end{array} $

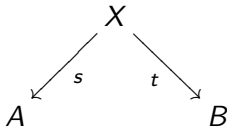
These form a “double bicategory”, but it can be coerced into becoming a bicategory since horizontal and vertical morphisms are composable.

Theorem

There is a 2-functor (“2-linearization”):

$$\Lambda : \text{Span}_2(\mathbf{Gpd}) \rightarrow \mathbf{2Vect}$$

A *span* in a category \mathbf{C} is a diagram:



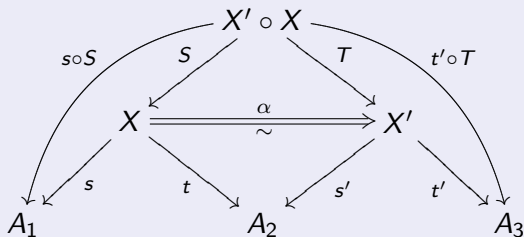
In a span $A \leftarrow X \rightarrow B$, think of X as a space (\mathbf{C} -object) of *histories*; intuitively s and t pick the starting and terminating *configuration* in spaces A and B . (Only true if \mathbf{C} is concrete.)

For groupoids, spans also go by “Morita morphisms”, etc.

The bicategory $Span_2(\mathbf{Gpd})$ (similar for any 2-category with weak pullbacks) has:

Definition (Part 1)

- **Objects:** Groupoids
- **Morphisms:** Spans of groupoids
- Composition defined by *weak* pullback:

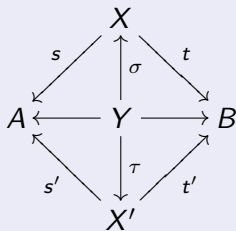


- monoidal structure from the product in \mathbf{Gpd} , monoidal unit 1

(We could stop here: $Span_1(\mathbf{C})$ is the universal category containing \mathbf{C} with duals for morphisms. But $\mathbf{C} = \mathbf{Gpd}$ is a 2-category).

Definition (Part 2)

The **2-morphisms** of $\text{Span}_2(\mathbf{Gpd})$ are (iso. classes of) spans of *span maps*:



(Which possibly commute only up to 2-morphism of \mathbf{Gpd} - here we ignore this). Composition is by weak pullback taken up to isomorphism.

(Note: In general, $\text{Span}_2(\mathbf{C})$ will be the universal 2-category containing \mathbf{C} in which morphisms have ambidextrous adjoints.)

Definition

$2\mathbf{Vect}$ is the 2-category of 2-vector spaces, which consists of:

- Objects: \mathbb{C} -linear abelian category, generated by simple objects
- Morphisms: **2-linear maps**: \mathbb{C} -linear (hence abelian) functor.
- 2-Morphisms: Natural transformations

Finite dimensional 2-vector spaces all look like \mathbf{Vect}^k , and 2-linear maps have a matrix representation. (Analogous examples occur for infinite dimensional 2-vector spaces).

Lemma

If \mathbf{B} is an essentially finite groupoid, the functor category $\Lambda(\mathbf{B}) = \mathbf{Rep}(\mathbf{B}) := [\mathbf{B}, \mathbf{Vect}]$ is a KV 2-vector space.

The generators of $[\mathbf{B}, \mathbf{Vect}]$ are irreducible reps - labeled by $([b], V)$, where $[b] \in \mathbf{B}$ and V an irreducible rep of $\mathit{Aut}(b)$.

Theorem

If \mathbf{X} and \mathbf{B} are essentially finite groupoids, a functor $f : \mathbf{X} \rightarrow \mathbf{B}$ gives two 2-linear maps:

$$f^* : \Lambda(\mathbf{B}) \rightarrow \Lambda(\mathbf{X})$$

with $f^*F = F \circ f$ and (the restricted representation along f)

$$f_* : \Lambda(\mathbf{X}) \rightarrow \Lambda(\mathbf{B})$$

the induced representation of F along f . Furthermore, f_* is the two-sided adjoint to f^* .

In fact, the adjoint map f_* acts by:

$$f_*(F)(b) \cong \bigoplus_{f(x) \cong b} \mathbb{C}[Aut(b)] \otimes_{\mathbb{C}[Aut(x)]} F(x)$$

This is a (left) *Kan extension* of the functor F along f .

This is the left adjoint. But there is also a right adjoint (right Kan extension):

$$f_!(F)(b) \cong \bigoplus_{[x] | f(x) \cong b} \text{hom}_{\mathbb{C}[Aut(x)]}(\mathbb{C}[Aut(b)], F(x))$$

There is the canonical *Nakayama isomorphism*:

$$N_{(f, F, b)} : f_!(F)(b) \rightarrow f_*(F)(b)$$

given by the *exterior trace map* (which uses a modified group average in each factor):

$$N : \bigoplus_{[x] | f(x) \cong b} \phi_x \mapsto \bigoplus_{[x] | f(x) \cong b} \frac{1}{\#Aut(x)} \sum_{g \in Aut(b)} g \otimes \phi_x(g^{-1})$$

Under this identification we get that f^* and f_* are ambidextrous adjoints.

Call the adjunctions in which f_* is left or right adjoint to f^* the *left and right adjunctions* respectively. We want to use the counit for the right adjunction, the evaluation map:

$$\eta_R(G)(x) : v \mapsto \bigoplus_{y|f(y)\cong x} (g \mapsto g(v))$$

and the unit for the left adjunction, which is determined by the action:

$$\epsilon_L(G)(x) : \bigoplus_{[y]|f(y)\cong x} g_y \otimes v \mapsto \sum_{[y]|f(y)\cong x} f(g_y)v$$

These define maps between $F(x)$ and $f_*f^*F(x)$.

(Note: there are canonical inner products around which make these maps *linear* adjoints. We are ignoring them for now.)

Definition

Define the 2-functor Λ as follows:

- Objects: $\Lambda(\mathbf{B}) = \text{Rep}(\mathbf{B}) := [\mathbf{B}, \mathbf{Vect}]$
- Morphisms $\Lambda(X, s, t) = t_* \circ s^* : \Lambda(\mathbf{a}) \longrightarrow \Lambda(\mathbf{B})$
- 2-Morphisms: $\Lambda(Y, \sigma, \tau) = \epsilon_{L, \tau} \circ N \circ \eta_{R, \sigma} : (t)_* \circ (s)^* \rightarrow (t')_* \circ (s')^*$

$\Lambda(X, s, t)$ can be represented by the matrix with coefficients:

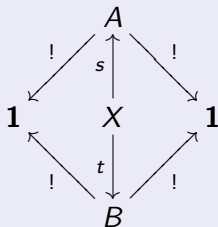
$$\begin{aligned} \Lambda(X, s, t)_{([a], V), ([b], W)} &= \text{hom}_{\text{Rep}(\text{Aut}(b))}(t_* \circ s^*(V), W) \\ &\simeq \bigoplus_{[x] \in \underline{(s, t)^{-1}([a], [b])}} \text{hom}_{\text{Rep}(\text{Aut}(x))}(s^*(V), t^*(W)) \end{aligned}$$

This is an *intertwiner space* for the groupoid representations. The 2-morphisms give (componentwise) linear maps between intertwiner spaces.

In the case where source and target are $\mathbf{1}$, there is only one basis object in $\Lambda(\mathbf{1})$ (the trivial representation), so the 2-linear maps are represented by a single vector space. Then it turns out:

Theorem

Restricting to $\text{hom}_{\text{Span}_2(\mathbf{Gpd})}(\mathbf{1}, \mathbf{1})$:



where $\mathbf{1}$ is the (terminal) groupoid with one object and one morphism, Λ on 2-morphisms is just the degroupoidification functor D of Baez and Dolan.

A consequence is that $Z_G = \Lambda \circ \mathcal{A}_G(-)$ gives the *Dijkgraaf-Witten model* when $n = 3$.

Generalization 1: Higher gauge theory - for a 2-group \mathcal{G} , define a 3-functor $Z_{\mathcal{G}} : \mathbf{nCob}_3 \rightarrow \mathbf{3Vect}$.

Sketch:

Definition

Fixing a 2-group \mathcal{G} , the contravariant 2-functor

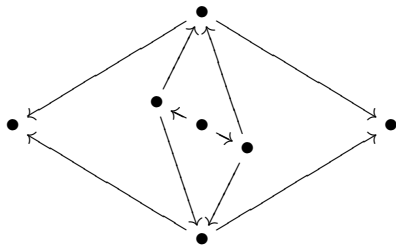
$$\mathcal{A}_0^{(2)} = 2\text{Fun}[\Pi_2(-), \mathcal{G}]$$

assigns to a manifold M the 2-groupoid $\mathcal{A}_0^{(2)}(M)$ with:

- Objects: 2-functors (“2-connections”)
- Morphisms: natural transformations (“gauge transformations”)
- 2-Morphisms: modifications (...)

(and, to smooth functions, the induced maps).

There's an induced map $Span_3(\mathbf{ManCorn}) \rightarrow Span_3(\mathbf{2Gpd})$, where $Span_3(-)$ has, as 3-morphisms, equivalence classes of diagrams shaped like:



(1)

Composition is again by weak pullback. (Note that 2-morphisms and 3-morphisms of $\mathbf{2Gpd}$ can appear in $Span_3(\mathbf{2Gpd})$ by weakening the assumption that this commutes.)

As before, $nCob_3$ lives in $Span^3(\mathbf{ManCorn})$ (cubical, but can be intimidated into being globular if desired).

We would like to define a 3-functor

$$\Lambda^{(2)} : \text{Span}_3(\mathbf{2Gpd}) \rightarrow \mathbf{3Vect}$$

Then assuming $\Lambda^{(2)}$ is well-defined, we should obtain an extended TQFT 3-functor:

$$Z_{\mathcal{G}} = \Lambda^{(2)} \circ \mathcal{A}_0^{(2)} : \mathbf{nCob}_3 \rightarrow \mathbf{3Vect}$$

For $\mathcal{X} \in \mathbf{2Gpd}$, we expect to get:

$$\Lambda^{(2)}(\mathcal{X}) = \text{Rep}(\mathcal{X}) = 2\text{Fun}(\mathcal{X}, \mathbf{2Vect})$$

There should be, for each $\mathcal{F} : \mathcal{X} \rightarrow \mathcal{Y}$, an adjoint pair

$$\mathcal{F}^* : \text{Rep}(\mathcal{Y}) \rightarrow \text{Rep}(\mathcal{X})$$

and

$$\mathcal{F}_* : \text{Rep}(\mathcal{X}) \rightarrow \text{Rep}(\mathcal{Y})$$

where the induced representation functor \mathcal{F}_* is given by 2-Kan extension along \mathcal{F} .

To prove: It should be biadjoint. Moreover, to get 3-morphisms, the unit and counit ϵ_L, η_R should themselves be biadjoint!

Eventually: One hopes this pattern will repeat with representations of n -groupoids for all n . Must deal with slight trickiness of **nVect**.

Generalization 2: Z_G for G a compact Lie group (uses measured groupoids)

Duplicating the above requires some changes:

- Direct sums become direct integrals - which are not (co)limits
- Push-forward is not just Kan extension of functors
- Topology is nontrivial, so must deal explicitly with sheaves, instead of functors, carrying representations
- Ambi-adjunction requires **Hilb** instead of **Vect** in infinite-dim setting

The construction for Λ can be extended using:

- $Rep(\mathbf{B}) \mapsto$ Category of reps of von Neumann algebra associated to \mathbf{B}
- 2-linear maps represented by *Hilbert bimodules*
- Natural transformations represented by bimodule maps

This relates to a conjecture (Baez, Baratin, Freidel, Wise) that *infinite-dimensional 2-Hilbert spaces* are representation categories for v.N.-algebras.

2-Vector spaces must be generalized to categories like:

Definition

If (X, μ) is a measurable space $\mathbf{Meas}(\mathbf{X})$ is the category with:

- Objects: *measurable fields of Hilbert spaces on (X, \mathcal{M})*
- Morphisms: *measurable fields of bounded linear maps*

A measurable field of Hilbert spaces on X determines a *measurable sheaf of Hilbert spaces* in $MSh(X, \mu)$ by direct integration.

Theorem (Wendt)

Given a disintegration $f : (X, \mu) \rightarrow (B, \nu)$ (i.e. morphism in suitable category of measure spaces), there is an adjoint pair:

$$MSh(X) \begin{array}{c} \xrightarrow{f^*} \\ \xleftarrow{f_*} \end{array} MSh(Y)$$

Needed: A (groupoid-)equivariant version of this theorem.

