In 1959 Grothendieck wrote a letter to Serre explaining that in trying to construct moduli spaces in algebraic geometry he keeps running into the problem that the underlying data has automorphisms

A concrete example

Why does the (fine) moduli space of elliptic curves not exist?

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Lets work over $\mathbb{C}.$

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As a complex manifold such a curve is a compact Riemann surface of the form \mathbb{C}/Λ where Λ is a full sublattice of $(\mathbb{C},+)$

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Lets denote the (non existant) moduli space by \mathcal{M} . There should be a universal family of elliptic curves $\mathfrak{U} \to \mathcal{M}$ with the following universal property:

Given any other family $\mathfrak{E} \to X$ of elliptic curves then there exists a unique morphism $f: X \to \mathcal{M}$ such that $f^*\mathfrak{U} \cong \mathfrak{E}$

This all falls apart when one inspects the family

$$\mathfrak{E} = \{(x, y, z) | y^2 = x(x-1)(x-z) \}.$$

where $\mathfrak{E} \to \mathbb{A}^1 \setminus \{0,1\}$ with $(x, y, z) \mapsto z$.

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More conceptually the culprit is the fiber over (1/2). It has an extra automorphism.

Conclusion : To have moduli spaces with all expected properties one needs a larger category of varieties. To see how one might construct such a category lets give a functorial characterization of algebraic varieties and schemes.

Denote by **Aff** the category of affine schemes. This is just the opposite category to the category of commutative rings with identity.

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algebraic varieties \hookrightarrow Functors(Aff^{op}, Sets)

One can characterise a variety (really a scheme) inside the functor category as being a sheaf that is locally representable.

Remark Lets rephrase the first example. Consider the functor

 $\text{Aff}^{op} \to \text{Sets}.$

that sends

 $X \mapsto \{\text{isomorphism classes of flat families of elliptic curves over } X\}.$

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Remark Lets rephrase the first example. Consider the functor

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 $X \mapsto \{\text{isomorphism classes of flat families of elliptic curves over } X\}.$ This functor is a sheaf but it is not locally representable.

Towards a definiton of stack

The 2-category of stacks will be a certian subcategory of the 2-category of lax functors

$\text{Aff}^{op} \to \text{Gpds}.$

The word lax is not been defined in this talk, we will illustrate its meaning with examples below before writing down a dictionary definition.

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Some examples of lax functors that turn out to be algebraic stacks

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Some examples of lax functors that turn out to be algebraic stacks The moduli stack of elliptic curves Let G be an algebraic group. The classifying stack BG of G-bundles If X is projective one can construct a relative version of BG called

the moduli stack of G-bundles on X.

A lax functor F: Aff^{op} \rightarrow Gpds assigns a groupoid F(Spec(R))to each affine space Spec(R) and a pullback functor $f^*: F(\text{Spec}(R)) \rightarrow F(\text{Spec}(S))$ to every morphism $f: \text{Spec}(S) \rightarrow \text{Spec}(R)$. Further there are natural isomorphisms

$$\alpha_{f,g}: f^* \circ g^* \xrightarrow{\sim} (gf)^*$$

This data is subject to the following constraints :

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Grothendieck topologies

Let **C** be a small category with finite fibered products. A Grothendieck topology on **C** consists of an assignment to each object X of **C** a collection cov(X) of sets of arrows called the coverings of X such that

- 1. all isomorphisms are coverings, ie $Y \xrightarrow{\sim} X \in cov(X)$
- 2. $\{U_i \in cov(X)\}$ and $Z \to X$ implies $\{U_i \times_X Z\} \in cov(Z)$
- 3. if $\{U_i \to X\} \in cov(X)$ and $\{V_{ij} \to X_i\} \in cov(X_i)$ for each i, then $\{V_{ij} \to X_i \to X\} \in cov(X)$.

Examples

- $1. \ \mbox{The}$ usual topology on \mbox{Top}
- 2. The Zariski topology on Aff
- 3. The smooth or etale topology on Aff

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Suppose that ${\bf C}$ has a topology. Further assume that ${\bf C}$ has coproducts.

A sheaf on **C** is a functor $F : \mathbf{C}^{\mathrm{op}} \to \mathbf{Sets}$ such that for all such that $\{U_i \in cov(X)\}$ the following sequence is exact

$$F(X) \to F(U) \rightrightarrows F(U \times_X U)$$

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A stack over C is a lax functor

$$F: \mathbf{C}^{\mathrm{op}} \to \mathbf{Gpds}$$

such that

1. morphisms glue, more precisely for all $X \in \mathbf{C}$ and all $x.y \in F(X)$ the functor

$$Isom(x, y) : \mathbf{C}/X \to \mathbf{Sets}$$
$$(f: Y \to X) \mapsto \{\text{isomorphisms between } f^*x \text{ and } f^*y\}$$

is a sheaf.

2. objects glue, more precisely all descent data are effective.

Descent Data

Consider a covering family $\{U_i \rightarrow U\}$ an object $x \in F(U)$ produces via pullack objects $x_i \in F(U_i)$. Denote by $x_i|_{U_{ij}}$ the pullback of x_i to $F(U_i \times_U U_j)$. We have isomorphisms

$$\phi_{ij}: x_i|_{U_{ij}} \xrightarrow{\sim} x_j|_{U_{ji}}$$

subject to a cocylce condition on triple products.

Such a family (x_i, ϕ_{ij}) is called a descent datum. The assertion that descent data are effective means that they all come from an x.

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Stacks revisited

The category of stacks is in fact a 2-category but the 2-categorical stucture is not transparent from the above definition. In the literature it is customary to define a stack using categories fibered in groupoids. The definition is equivalent. We recall it now.

A category fibered in groupoids over **C** is a category \mathfrak{E} and a functor $F : \mathfrak{E} \to \mathbf{C}$ such that

- 1. for each morphism $f : y' \to y$ in **C** and $t \in \mathbf{C}$ with $F(t_y) = y$ there is a $t' \to t$ in \mathfrak{E} projecting to f in **C**.
- 2. for each diagram of the form



over $T'' \to T' \to T$ in **C** the triangle can be completed uniquely.

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Given a category fibered in groupoids, one can produce a lax functor into groupoids. This process can be reversed. So a functor $\mathbf{C}^{\mathrm{op}} \rightarrow \mathbf{Sets}$ produces a category fibered in groupoids. In particular a representable functor produces a category fibered in groupoids. One can prove a Yoneda type theorem in this setting.

A *stack* is a category fibered in groupoids such that arrows glue and all descent data are effective.

We have now a 2-category of stacks. This category has 2-carteasian products.

Algebraic Stacks

We work over the category **Aff** with the fppf topology. A morphism $F: X \to Y$ of stacks is said to be representable if for each scheme T and morphism $T \to Y$ the fibered product

 $T \times_Y X$

is a scheme.

Let *P* be a property of morphisms of schemes that is invariant under base change. Then if $F : X \to Y$ is a representable morphism of stacks it makes sense to say that *F* has property *P*. If the $X \to X \times X$ is representable then all fibered products of schemes over *X* are schemes.

A stack X is said to be *algebraic* if the diagonal is representable quasicompact and seperated and there exists a smooth surjective morphism $S \rightarrow X$ where S is a scheme.

Remark Usually one replaces scheme be something more slightly general in the above defintion.

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Example The stack BGL_n is algebraic.

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To show that the diagonal is representable one needs to show that the functor of isomorphisms between two vector bundles is representable

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Example The stack *BGL_n* is algebraic.

To show that the diagonal is representable one needs to show that the functor of isomorphisms between two vector bundles is representable

This stack is presented by a point.(Use 2-Yoneda)

Given an algebraic stack with a presentation $P \to \mathfrak{X}$ we obtain a groupoid in schemes

$$P \times_{\mathfrak{X}} P \xleftarrow{\longrightarrow} P$$

The multiplication comes from projection onto the first and third factor.

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- 1. They play an important role in moduli probelms.
- 2. One can prove highly non-trivial theorems about spaces using stacks.
- 3. Stacks can put a new perspective on existing constructions and theorems. For example, equivariant cohomology, ramified covers.